# Splicing-Sensitive DNA-Microarrays: Peculiarities and Application in Biomedical Research (Review)

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Alternative splicing (AS) provides a variety of protein and mature mRNA isoforms encoded by a single gene, and is the essential component of cell and tissue differentiation and functioning. DNA-microarrays are highly productive transcriptome research technique both at the level of total gene expression assessment and alternatively spliced mRNA isoforms exploration. The study of AS patterns requires thorough probe design to achieve appropriate accuracy of the analysis.

There are two types of splicing-sensitive DNA-microarrays. The first type contain probes targeted to internal exonic sequences (exon bodies); the second type contain probes targeted to exon bodies and exon-exon and exon-intron junctions. So, the first section focused on probe sequence design, general features of splicing-sensitive DNA-microarrays and their main advantages and limitations.

The results of AS research obtained using DNA-microarrays have been reviewed in special section. In particular, DNA-microarrays were used to reveal a number pre-mRNA processing and splicing mechanisms, to investigate AS patterns associated with cancer, cell and tissue differentiation. Splicing machinery regulation was demonstrated to be an essential step during carcinogenesis and differentiation. The examples of application of splicing-sensitive DNA-microarrays for diagnostic markers discovering and pathology mechanism elucidation were also reviewed. Investigations of AS role in pluripotency, stem cell commitment, immune and infected cells functioning during immune response are the promising future directions. Splicing-sensitive DNA-microarrays are relatively inexpensive but powerful research tool that give reason to suppose their introduction in clinical practice within the next few years.

Key words: DNA-microarrays; alternative splicing; carcinogenesis; cell differentiation; molecular diagnostics.

More than 90% human genes' pre-messenger RNA (pre-mRNA) undergo alternative splicing (AS) [1, 2]. Translation products encoded by single gene mRNA isoforms resulted from AS are involved in different cell functions up to antagonistic. AS is among basic mechanisms involved in cell and tissue functioning and differentiation. There are 8 AS types (Figure 1). The main AS result is the variety of combinations of constitutive and alternative exons composing a mature transcript.

Constitutive exons usually are present in all mature mRNA isoforms, while the presence of alternative exons is determined by the composition and functioning of splicing machinery. Thus, AS results in mRNA diversity forming a cell transcriptome.

Transcriptome research is conducted in two directions: 1) assessment of the total mRNA level of a gene (gene expression); 2) analysis of composition and quantitative distribution of mature mRNA spliced

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isoforms. Complex transcriptome investigations require high-throughput and accurate techniques. Real-time RT-PCR and PCR are time- and laborconsuming and enable to analyze only few genes within single experiment. However, they are considered as the "gold standard" of accuracy. Currently, there is a growing use of relatively new high efficient methods to study cell and tissue transcriptome: DNA-microarravs and RNA-sequencing (the latter is based on pyrosequencing technology) [3, 4]. There are no considerable differences in the accuracy of these two techniques, however, RNA-sequencing is more expensive [5-7]. Thus, DNA-microarrays provide an optimal combination of productivity, costs and accuracy of obtained results.

The present paper considers the current approaches to design of splicingsensitive DNA-microarrays and reviews the key directions of their application in biological and medical studies.

### Design features of splicing-sensitive DNA-microarrays

Selection of probes complementary to individual mRNA isoform sequences

is the key step in design of splicing-sensitive DNAmicroarrays. To achieve the highest analysis accuracy probes should meet the following requirements: 1) the narrow range of melting temperature  $(T_m)$  and nucleotide G and C percentage (%GC); 2) minimal possibility of a stable secondary structure (hairpin) formation; 3) minimal possibility of cross-hybridization (unspecific mRNA binding).

The important aspect of AS examination is the selection of probe hybridization areas within assessed mRNA. There are two types of probe binding localization: type 1 — inside exons and retained introns; type 2 — in exon–exon or exon–intron junctions (Figure 2).



Figure 2. Probe localization types: (a) probes targeted to internal exonic sequences (exon bodies); (b) probes targeted to exon junctions



Figure 1. Alternative splicing types

Type 1 probes have the higher possibility of successful selection of probes meeting aforementioned criteria due to relatively long sequences of human exons and introns [8]. Type 2 probes enable to make unambiguous conclusions regarding distribution and abundance level of spliced mRNAs. However, due to localization restrictions for type 2, selection of probes satisfying the above mentioned criteria is more difficult. Thus, DNA-microarrays containing type 1 probes and DNA-microarrays with both type probes are applied in AS research.

**Exon-targeted microarrays.** The type 1 probe design is implemented in Exon 1.0 ST Array (Affymetrix, USA) the most widely used commercial splicing-sensitive microarray to date. The microarray contains over 5.5 million probes to detect and quantify the vast majority of annotated exons. Each exon corresponds to a set of 4 probes, length of each probe is 25 bases [9]. Despite high density of transcriptome coverage the microarray has a number of disadvantages: 1) relatively short probe length enhances cross hybridization probability; 2) high heterogeneity of probes (nucleotide G and C percentage varies from 0 to 100) results in a wide range of signal intensity, and complicates data analysis [10]; 3) inability to detect relatively small (less than 25 bases) 5'- and 3'-splicing sites shifts (See Figure 1 (d) and (e)).

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The major problem stems from these "congenital" defects of Affymetrix microarrays is a high percentage of false-positive results. The percentage of confirmed AS events (by RT-PCR, qPCR) lies within the range of 20–80% [11–13].

The splicing index (SI) — the exon expression assessment criterion proposed by Affymetrix is calculated as follows:

$$NI = \frac{e_{ij}}{\sum e_{ij}},\tag{1}$$

$$SI = \log_2 \left( \frac{NI(e_{ij})_{test}}{NI(e_{ij})_{control}} \right).$$
(2)

First, normalized intensity (NI) of exon signal is calculated. Averaged signal level of the probes corresponding to exon i ( $e_i$ ) is divided by total intensity of all exons of gene *i* (1). Splicing index is calculated as a logarithm from ratio of normalized intensities of test and control (2) [14]. Due to heterogeneity of probes, gene expression level variations, unknown and predicted spliced isoforms presence this criterion results in a considerable percentage of inaccurate conclusions. Therefore, some investigations using Exon 1.0 ST Array is aimed to improved algorithm development and strict criteria introduction for correct signal/background discrimination, increasing reproducibility and specificity [15, 16]. The development of new algorithms and software for data processing, analysis and signal/ background correction in experiments using exontargeted Affymetrix microarrays is a growing segment of bioinformatics [17-19].

Studies using Agilent Exon Arrays are presented much rarely. The main difference of Agilent products is probe length — 50–60 bases. The shortest analyzed exons are 35 bases in length, probes corresponding to such "short" exons are filled up to 50 bases using poly-T-spacers. Thus, exome (pool of all exons) coverage in Agilent Exon Arrays is significantly lower. In Human Exon 1.0 ST Array (Affymetrix) the number of exons covered ( $\geq$ 25 nucleobases in length) is 1,084,639, while the number of exon probes in Agilent Human Exon 400K Microarray is 233,164 [9, 20]. There are just a few reports in literature concerning the accuracy of Agilent Exon Arrays. The study of transcriptome changes in human mesenchymal stem cells in response to severe hypoxia revealed 53 alternatively spliced genes, the authors chose only 2 genes for validation: the presence of cassette exons was successfully confirmed [21].

Exon junction-targeted DNA-microarrays. Microarrays, containing probes complementary to both exon bodies and exon junctions are more informative compared to the previous microarray type. First, the probes for hybridization with junction sites enable to clearly detect all AS events. Second, combined data on probe hybridization with constitutive and alternative exons allows drawing more reliable inferences about AS events. For example, exon inclusion/skipping degree can be detected not only from change in probe hybridization intensity with the corresponding exon, but also from hybridization signal alterations of adjacent constitutive and alternative junctions (Figure 3) that is an additional selection criterion for true positive results. So, the study of AS of 16 clinically relevant tumor-associated genes showed 100% true positive results using combined data from all AS-targeted probes [22]. Selection of coordinated alterations in hybridization intensity of probes discriminating specific AS events provides ~75% analysis accuracy [23, 24].

Despite the clear advantages of the junction-targeted probes their design is substantially difficult. Localization of probes on a border sites severely limits the number of freedom degrees to select the sequences with a narrow range of  $T_m$  and %GC. Localization on a border sites also imposes limitations on probe length. Too long probe will hybridize effectively by one of its "halves" with a target



Figure 3. Semi-quantitative analysis of cassette exons using probes of both types — targeted to exon bodies and junctions





exon in all spliced isoforms, containing this exon (Figure 4). On the contrary, a short-length probe will hybridize less effectively resulting in decrease of the analysis sensitivity. Srinivasan et al. [25] found that 36-40 bases is an optimal length for probes targeted to the exon-exon or exonintron junctions. On the other hand, pilot trials of hGWSA (human Genome Wide Splicing Array; ExonHit, USA-France) showed that satisfactory specificity is provided by relatively short probes, 24-25 bases [26]. Primarily, these differences can be due to the type of hybridized nucleic acid. So, in the first example, DNA was hybridized on microarrays, while RNA was hybridized on hGWSA. The experimental material suggests that DNA provides higher sensitivity and specificity compared to RNA [27]. Splicing-sensitive Affymetrix microarrays contain 25nucleotide probes hybridized with a DNA at temperature 45°C [28]. Agilent microarrays contain 60-nucleotide probes and are hybridized at 60-65°C [29-33]. Junctiontargeted probe design strategy different from "search the best among possible" is uniform coverage of the junction site. In an experimental array based on Agilent platform, each junction area was uniformly covered by five 36base probes with a step of 9 bases, validation showed 70–85% accuracy [34]. Human Transcriptome Array 2.0 (Affymetrix) has probes for 260,488 junctions; each junction is covered by four probes with a spacing of 2 bases [35].

Thus, despite the difficulties resulted from thermodynamic limitations, the probes targeted on exon– exon or exon–intron junctions provide high accuracy of AS event estimation compared to the exon-oriented probes. Therefore, vast majority of experimental splicingsensitive microarrays have probes of both types.

## AS research results obtained with the application of DNA-microarrays

**Pre-mRNA processing and splicing mechanisms.** Pre-mRNA processing mechanisms exploration and the revealing of target genes of splicing factors appeared among the first fundamental problems investigated with using splicing-sensitive DNA-microarrays. The study by J.C. Castle et al. [30] involving 48 human tissues and cell lines found over 9,500 AS events. Quantification of expression levels of splicing factors and cassette exons, combined with pre-mRNA sequences analysis revealed or confirmed the presence of 143 splicing cis-elements.

This direction has been further developed using an experimental splicing-sensitive Agilent microarray containing the probes to assess 1,804 AS events in 482 genes associated with pre-mRNA processing and oncogenesis [31]. In particular, target genes of CPEB1 (regulator responsible for the selection of alternative sites of pre-mRNA polyadenylation) have been discovered, splicing-associated and splicingindependent mechanisms of polyadenylation site displacement were also confirmed [33]. In the study of the antiproliferative mechanism of spliceostatin A (SSA) the model of pre-mRNA interaction with SF-3b-155 and U2 snRNP spliceosome elements has been proposed, the interfering influence of SSA molecule on this interaction has been also described [36]. In the study of Solier et al. [37, 38] camptothecin (CPT, topoisomerase I inhibitor) treatment of HCT116 cells caused the changes of splicing pattern of 998 genes, 140 of which participated in pre-mRNA splicing and processing regulation. There has been suggested the following mechanism: topoisomerase I inhibition results in RNA-polymerase II hyperphosphorylation, the decrease of elongation rate and the malfunction of splicing factors associated with RNA-polymerase II. Dutertre et al. [39] in their work showed that topoisomerase inhibitors cause AS of 3'exons due to interference of alternative 3'-exon binding to HuR/ELAVL1 spliceosome component.

AS mechanisms involving RNA-helicases Ddx5 μ Ddx17 which are also the spliceosome components [40], were studied using Human Exon Array [41]. Ddx5/17 cooperation with nuclear ribonucleoprotein hnRNP H/F was found to provide its interaction with "strong" (primarily recognized) splicing sites and inclusion of the exons flanked by strong splicing sites in a mature mRNA. Moreover, there has been shown the role of Ddx5/17 as a splicing regulator during intracellular signaling in response to the binding of steroid hormones to their receptors [41, 42].

Large-scale investigations using microarrays targeted to exon junctions enabled to determine basic functioning mechanisms of primary splicing factors: SRSF1 and SRSF2 [43], PTB [44], hnRNP family [45]. These regulators mediate exon inclusion or skipping that depends on many factors: strength and localization of splicing sites, the length of adjacent introns and spliceosome composition.

**Tumor-associated AS patterns.** Currently, investigations focused on total differential gene expression during carcinogenesis make up the most extensive area of DNA-microarray application. However, works devoted to the study of tumor-associated splicing patterns are rather rare. Detection of specific spliced isoforms typical for a certain tumor type is a robust approach to the

finding of the new therapeutic and prognostic markers and target drug development.

The studies of lung cancer [46, 47], colon cancer [48], brain cancers [49, 50], leukemia [51–54], Hodgkin's lymphoma [55], head and neck squamous cell carcinoma [56] showed that genes of cytoskeleton elements, cell cycle realization and control, cell-cell interaction, apoptosis-associated genes are most frequently undergo AS during carcinogenesis.

The number of AS researches carried out using DNA-microarrays focused on the tumor-associated mechanisms of pre-mRNA splicing and processing. A large-scale study by Sveen et al. [57, 58] comprising 7 solid tumor types considers transcriptome instability to be the general characteristic of carcinogenesis due to the decreased expression of splicing factors. Similar results were obtained by Carrigan et al. [59]. The decline of spliced isofoms variety as well as the decrease of expression level of 28 spliceosomal genes in pancreatic carcinoma cell lines Capan-1 and MiaPaCa2 compared to pancreatic duct cells HPDE6 were observed in this study. Excess activation of transcription factor c-Jun is associated with oncogenic transformation and causes resistance to apoptosis [60-63]. Knockout of c-Jun in mice breast epithelium cells resulted in expression changes of 114 genes involved in pre-mRNA processing and splicing. In addition, many apoptosis associated genes (Casp-8, Casp-9, Kifap3, Wisp1, etc.) were observed to undergo AS [64]. The authors proposed the mechanism of oncogenic impact of c-Jun inhibiting a pro-apoptotic splicing factor SRSF2 and attenuating spliceosome efficiency. The study of 31 breast tumor cell lines belonging to 3 subtypes revealed similar AS patterns in each subtype [65]. Tissue specific splicing factor Fox2 was shown to be one of the master regulators determining AS patterns specific for each subtype.

AS patterns associated with cell and tissue differentiation. Cell and tissue differentiation is executed through gene expression regulation both on a quantitative level and also by changing AS patterns resulting in expression of tissue-specific protein isoforms. Tissue-specific splicing is accomplished by coordinated activity of general splicing factors (SR, hnRNP protein families) and specific factors, such as Nova, nPTB, Fox, nSR100, MBNL, CELF [66-68]. Warzecha et al. [69] identified splicing factors ESRP1 and ESRP2 responsible for epithelial tissue differentiation and the maintenance of epithelial phenotype. The authors [70, 71] determined the spectrum of ESRP1 and ESRP2 target genes, the key motif of cis-elements - UGG, the principle of splicing regulation: cis-elements in downstream intron lead to exon inclusion, while being within exon, they lead to its skipping. ESRP1 and ESRP2 knockout results in dramatic alterations of splicing patterns and the epithelial phenotype loss that was interpreted by the authors as the epithelial-mesenchymal transition. Similarly, there were analyzed the peculiarities of splicing regulated by Fox factor specific to the brain, heart and striated muscles. The authors studied the spectrum of Fox target genes, determined the main regulatory cis-element UGCAUG, which is an enhancer or silencer within downstream or upstream intron, respectively [72, 73].

Differentiation features and AS patterns in nervous tissue were also explored using splicing-sensitive microarrays. The differentiation of murine embryonic carcinoma cells P19 to neurons induced by transretinoic acid was accompanied by the appearance of neurospecific splicing factor nPTB and the disappearance of a general splicing repressor PTB. Splicing of 26% exons (54 of 202) during differentiation was found to be regulated by a neurospecific factor nPTB [74]. A large comparative study of brain transcriptome in intact mice and Nova (brain-specific splicing regulator) knockout mice revealed about 600 AS events. These findings combined with the cis-regulatory element analysis allowed to draw the following conclusions: a) Nova cis-elements localized within downstream intron are enhancers, whereas localized within exon and upstream intron are splicing silencers; b) Nova and Fox factors co-regulate about 100 exons; c) Nova executes twolevel regulation of protein-protein interactions involved in synaptic contacts: splicing regulation of kinases and phosphatases (25 and 9, respectively) and also splicing regulation of the exons encoding phosphorylated protein sites [75].

Transcriptome analysis of three cell types epitheliocytes, fibroblasts and endothelial cells revealed cell type-specific AS patterns persisting independently of the tissue of origin. For example, epitheliocytes of the lungs, prostate, kidney, breast, and other organs had a common pattern of spliced isoforms. AS patterns characteristic for each cell type were based on the expression of tissue-specific splicing regulators. So, ESRP and hnRNP factors were specifically expressed in epitheliocytes, NOVA1 and RBFox2 were expressed in fibroblasts, PTBP and MBNL — in endothelial cells [76].

Thus, the research results clearly show that AS is a necessary tool to maintain cell and tissue identity.

Diagnostic markers discovering and pathology mechanism elucidation using splicing-sensitive microarrays. Since the greatest diversity of spliced isoforms is presented in central nervous system [14, 30], splicing-sensitive DNA-microarrays are used in researches and diagnostics of neurodegenerative diseases. For example, the search for mRNA splice variants in blood for early detection of Alzheimer's and Parkinson's diseases prior to clinical manifestations is conducted [77–79].

The comparison of age- and pathology-related changes in temporal lobe transcriptome [80] showed that Alzheimer's disease and frontotemporal lobar degeneration development is associated with decreased Nova (splicing regulator) expression level. The decreased activity of the regulator was demonstrated to cause AS imbalance in 20 exons of neurospecific genes responsible for synaptic transmission. Neurodegenerative disease amyotrophic lateral sclerosis (ALS) results from mutation of *TARDBP* gene encoding TBP-43 spliceosome component [81]. The comparison of motor neuron transcriptome in healthy volunteers and ALS patients revealed the differences in splicing patterns of over 4,000 genes, most of which involved in cytoskeleton formation and cell shape maintenance [82].

The study of splicing patterns in distal muscles bioptates in patients with myotonic dystrophy enabled to confirm and discover the early disease markers. Aberrant enhanced binding of splicing regulator MBNL1 to amplified CUG- or CCUG-repeats within pre-mRNA of mutant genes *DMPK* or *ZNF9/CNBP* causes MBNL1 sequestration and splicing failure of the rest pre-mRNA targets of this regulator [83, 84]. Patients without marked clinical manifestations of the disease were found to have spliced isoforms of genes *INSR*, *TTN*, *RYR1*, *CAMK2B*, *ARFGAP2* which are the targets of splicing regulator MBNL1 [85].

splicing-sensitive **DNA-microarravs** Thus. are the tools for new diagnostic markers search and pathogenesis investigation, but are not applied in clinical diagnostics. However, DNA-microarrays for genotyping are currently used as diagnostic tool. In 2010 the International Standard Cytogenomic Array Consortium recommended to use microarrays as a first-tier clinical diagnostic test for individuals with congenital anomalies instead of karyotyping [86]. In a number of countries DNA-microarrays are used in clinical diagnostic practice to reveal chromosome rearrangements associated with a wide spectrum of disorders [87-90]. In prospect, mRNA pools associated with various diseases and having diagnostic and prognostic value are expected to be validated, so the introduction of splicing-sensitive microarrays into clinical diagnostic practice is the matter of the near future.

#### **Promising lines of research**

Induced pluripotent stem cells (IPSC) obtaining by means of genetic engineering [91–93] has broadened the prospects for regenerative medicine. Currently, most researches concerning SC transcriptome are focused on the dynamics of total gene expression during SC differentiation and pluripotent state maintenance [94–98]. A few works concerning AS in IPSC indicate that the process is critical for SC pluripotent state maintenance [99, 100] and differentiation [101–103]. The study of AS processes in the course of IPSC induction and commitment using splicing-sensitive DNA-microarrays is expected to become a developing segment of biomedical research.

Another significant research segment remaining under investigated in AS context is an infection process modeling: the study of AS patterns in immunocytes and infected cells during infection process development and immune response. The dynamic transcriptome characteristics during differentiation and activation of various immunocytes subpopulations have been studied in detail [104–110]. The total gene expression changes have been analyzed in nervous system cells infected by Argentinean hemorrhagic fever virus [111], HSV-1 [112], in hepatocytes infected by HCV [113], in astrocytes during modeling bacterial infection [114], in epithelial enterocytes [115]. However, the AS regulation of key genes of immune response during infection process is poorly studied [116, 117] and requires further investigations.

Despite the growing use of RNA-sequencing technology, DNA-microarrays remain essential transcriptome research tool. Owing to the compromise between costs, value and amount of obtained data a marked increase of DNA-microarrays usage in the AS research as well as the introduction of splicing-sensitive microarrays in clinical practice are expected in the next decade.

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